

EVE 402/502

Air Pollution Generation and Control

1. Introduction to Particulate Matter
2. Real (old) data in Atlanta

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Background

- *What is PM_{2.5}?*
 - Solid (or liquid) particles with aerodynamic diameters < 2.5 μm
- *Why is the 2.5 μm distinction used?*
 - Fine particles: insufficient inertia to deposit in nasal passages (embed deep within lungs)
 - Toxicity: particles of this size contain chemicals that may be toxic

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Background

- *What are the sources of PM_{2.5}?*
 - Anthropogenic
 - Primary: IC engines, fireplaces, meat-cooking operations, industrial processes
 - Secondary: atmospheric conversions of SO₂ and NO_x to SO₄²⁻ and NO₃⁻, respectively
 - Biogenic
 - Primary: volcanoes, soil erosion, sea spray
 - Secondary: atmospheric conversion of organic gases to particulate organic carbon

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Background

- *What are the effects of PM_{2.5}?*
 - Environmental impacts: visibility degradation, natural water acidification
 - Human health impacts
 - Morbidity (decreased lung function, increased respiratory hospitalizations, school absenteeism)
 - Mortality (cancer, cardiovascular disease)

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Background

- *What are the current rules for PM_{2.5}?*
 - USEPA National Ambient Air Quality Standards (NAAQS)
 - 35 µg/m³ 24-hr average, 98th percentile (3 yr avg.)
 - 12 µg/m³ annual mean, averaged over 3 years
 - NAAQS require monitoring (attainment status may affect federal funding)
 - Constitutionality of EPA's role in setting NAAQS has been debated (and debated) in US courts

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Problem Identification and Research Objectives

- ASACA goal: *Fully characterize PM_{2.5} spatially and temporally over one year*
- Specific objectives of this work:
 - Develop an urban PM_{2.5} monitoring network
 - Describe temporal and spatial distributions of PM_{2.5} (assess homogeneity)
 - Develop statistical models for estimating PM_{2.5} levels
 - Estimate secondary organic aerosol formation
 - Source ID; Increase power of health studies

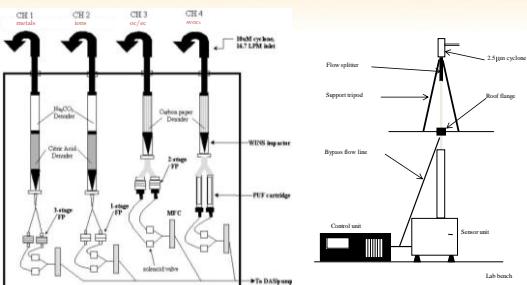
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Sampling Methods

- Particulate Composition Monitor (PCM)
 - Manual, filter-based multi-channel system
 - 24-hr integrated samples: ions, metals, carbon-containing species
- TEOM®
 - Commercially available, continuous mass
 - Retrofit with Nafion® dryer to minimize volatilization

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Schematics of PCM and TEOM



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Analytical Methods

- Ion chromatography (SO_4^{2-} , NO_3^- , NH_4^+)
 - Species extracted from nylon filters using 30 ml ultrapure water and 1 ml CH_3OH
 - 97% extraction efficiency (Russell and Cass, 1984)
- Thermal-optical transmittance (OC/EC)
 - OC and EC volatilized in two stages
 - Oxidation to CO_2 , reduction to CH_4 , FID quant.
 - “Split point” determined by laser transmittance
- ICP-AES (crustal and trace metals)
 - Species extracted from teflon filters in acid solution
 - Extract “nebulized” into Ar plasma ($10,000^\circ\text{C}$); valence e- excited; characteristic photon emitted

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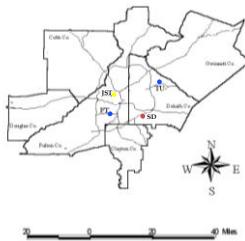
Uncertainties

- Flow meas. and control, < 10%
 - 16.7 ± 1.5 lpm (Temp, Pres. fluctuations)
- Water content (mass meas.), < 5%
 - Nafion dryer for TEOM (RH ≈ 20%)
 - 24-hr equilibration for FRM
- Artifacts
 - Volatilization can be high in summer; refrigeration
 - Adsorption limited by denuders, filter material
- Extraction and analytical instruments, 5-10%
 - Blanks, repeats
- Average uncertainty: 10-15% per measurement

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Monitoring Locations and Site Characteristics

ASACA Domain



● TEOM and PCM (FT and TU)
● TEOM only (JST)
● PCM only (SD)

Site	Description	Coordinates
Jefferson St (JST)	Urban, industrial	38.777, -77.44114
Patuxent McPerson (FT)	Urban, near major highway	38.777, -77.44114
South Detah (SD)	Residential, near maj. highway	38.688, -77.42290
Tucker (TU)	Suburban/commercial	38.688, -77.42214

0 10 20 30 40 Miles

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Temporal Analysis of PM_{2.5} Mass Data

- Summary statistics

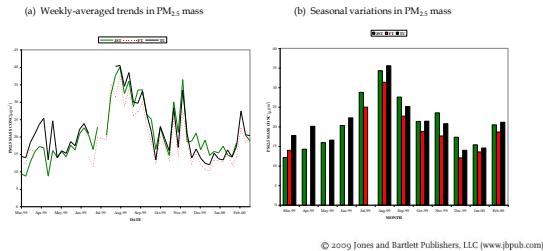
Site	Ann. Mean \pm SD [$\mu\text{g}/\text{m}^3$]	Spr. Mean [$\mu\text{g}/\text{m}^3$]	Sum. Mean [$\mu\text{g}/\text{m}^3$]	Aut. Mean [$\mu\text{g}/\text{m}^3$]	Win. Mean [$\mu\text{g}/\text{m}^3$]	Max 1-hr [$\mu\text{g}/\text{m}^3$]	Max 24-hr [$\mu\text{g}/\text{m}^3$]
JST	21.0 ± 13.8	14.1	28.1	24.3	17.7	97.3	48.6
FT	19.3 ± 11.4	Inc. data	26.8	19.8	14.7	90	45.1
TU	21.2 ± 11.9	18.1	30.8	22.6	16.5	87.9	51.5
SD	19.9 ± 9.7	18.1	27.4	20.5	15	—	140*

* Possible outlier (10/15/99)

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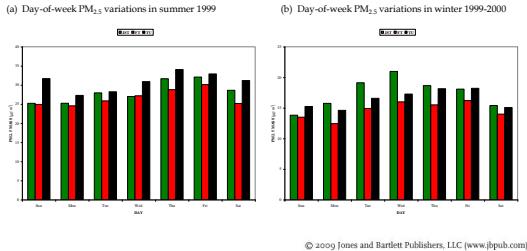
Temporal Analysis of PM_{2.5} Mass Data

- Weekly-averaged and seasonal trends in PM_{2.5} mass



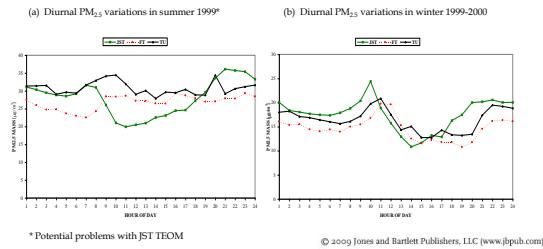
Temporal Analysis of PM_{2.5} Mass Data

- Day-of-week variations in PM_{2.5} mass

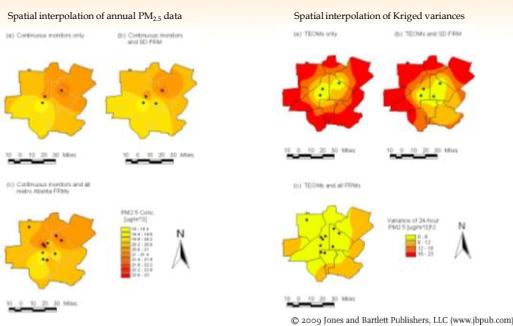


Temporal Analysis of PM_{2.5} Mass Data

- Diurnal variations in PM_{2.5} mass



Spatial Distributions



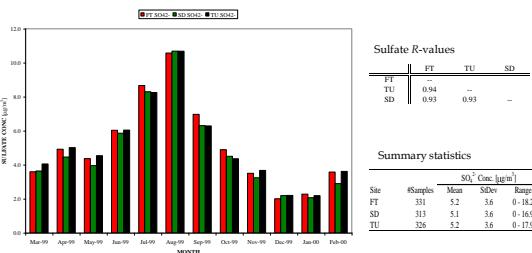
PM_{2.5} Mass Results

- Temporal
 - Highest values in summer
 - Lowest values on Monday
 - Highest values at night and AM rush
- Spatial
 - Overall, little spatial variation
 - Kriged estimates improved with more sites (Georgia DNR data)

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Temporal Analysis of SO₄²⁻

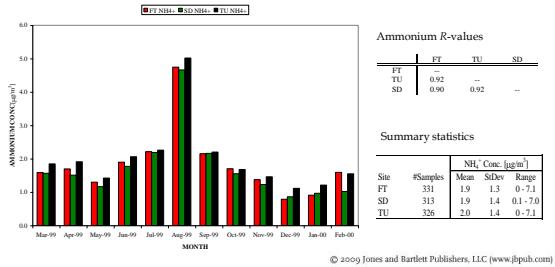
- Seasonal SO₄²⁻ variations and Spearman rank correlation coefficient matrix



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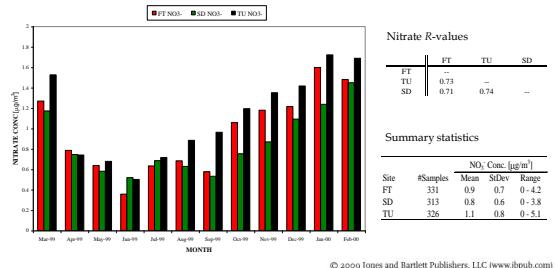
Temporal Analysis of NH₄⁺

- Seasonal NH₄⁺ variations and Spearman rank correlation coefficient matrix

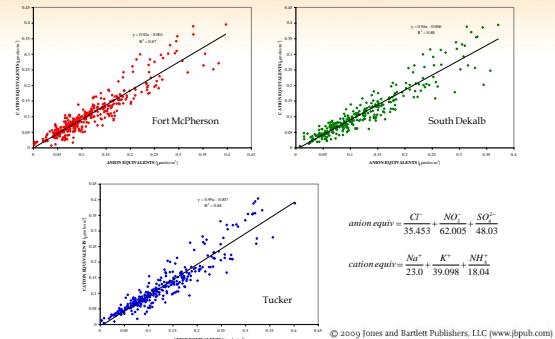


Temporal Analysis of NO₃⁻

- Seasonal NO₃⁻ variations and Spearman rank correlation coefficient matrix



Data Validation – Ion Equivalence



Spatial Analysis of SO_4^{2-} Episode

Spatial interpolation results of a SO_4^{2-} episode, July 1-3, 1999

(a) July 1, 1999 (b) July 2, 1999



Wind data during SO_4^{2-} episode

Date	For M�b. SO_4^{2-} [$\mu\text{g/m}^3$]	Δ_1 [km/day]	Vmax m/s	Prev. dry wind speed [m/s]	Prev. dry wind dir. [$^\circ$]
July 1	—	—	—	—	220.1
July 2	6.3	5.9	6.5	1.2	199.1
July 3	3.8	3.1	4.0	0.9	121.5
July 4	2.3	2.6	2.6	0.8	121.8

Source: wind data from Odeh and T. Dahab

(c) July 3, 1999



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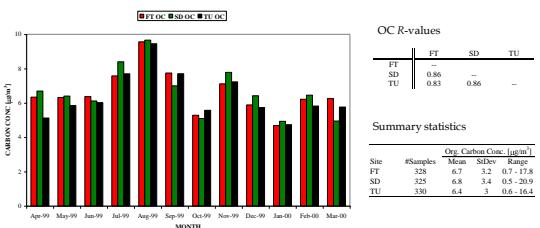
Major Ionic Species Results

- SO_4^{2-}
 - Peak values in summer
 - Highest when wind from west (180-360°)
 - Spatially homogeneous
- NO_3^-
 - Peak values in winter
 - Spatially homogeneous
- NH_4^+
 - Peak values in summer
 - Associated with SO_4^{2-} and NO_3^-
 - Spatially homogeneous

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Temporal Analysis of OC

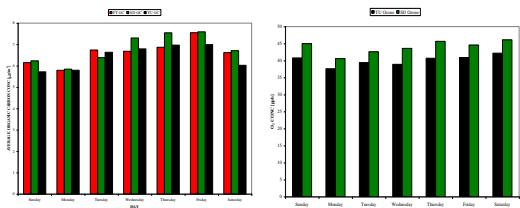
- Seasonal OC variations and Spearman rank correlation coefficient matrix



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Temporal Analysis of OC

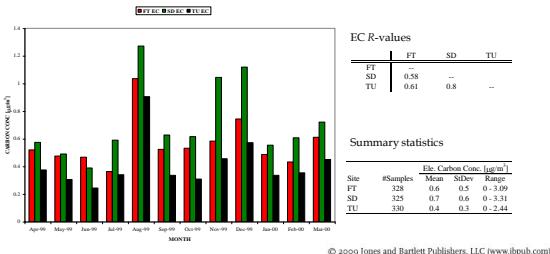
- Day-of-week variations in OC and O₃ concentrations



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Temporal Analysis of EC

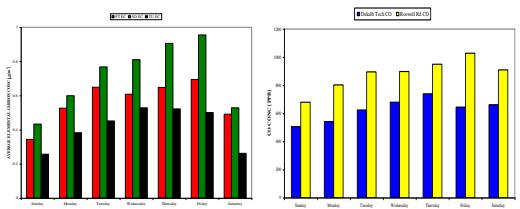
- Seasonal EC variations and Spearman rank correlation coefficient matrix



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Temporal Analysis of EC

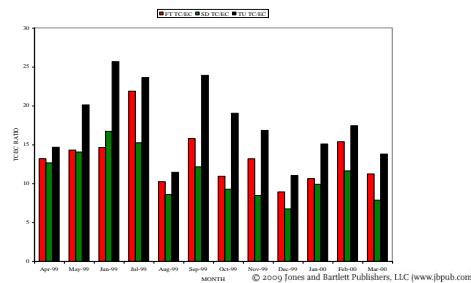
- Day-of-week variations in EC and CO concentrations



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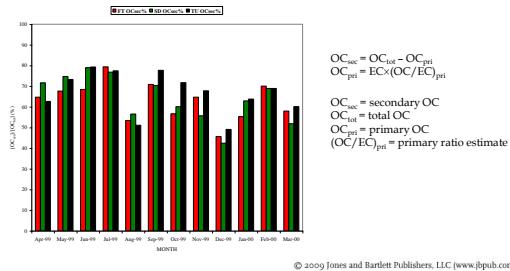
Indicators of Secondary Aerosol Production

- Total carbon (TC=OC+EC) to EC ratio



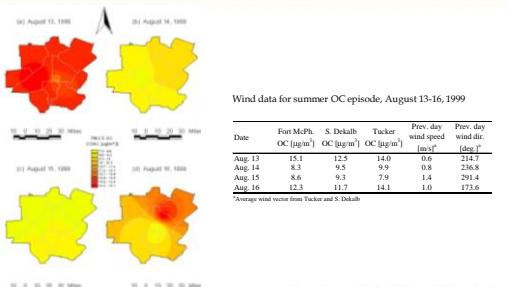
Estimation of Secondary Aerosol Production

- Method of Turpin and Huntzicker (1991) and Castro et al. (1999)



Spatial Analysis of Summer OC Episode

Spatial interpolation results for summer episode



OC/EC Results

- Organic carbon
 - Peak values in summer
 - Lowest values on Monday
 - Significant secondary contribution (43-80%)
 - Spatially homogeneous
- Elemental carbon
 - Peak values in summer (August anomaly)
 - Lowest values on weekends

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Temporal Analysis of Crustal and Trace Metal Species

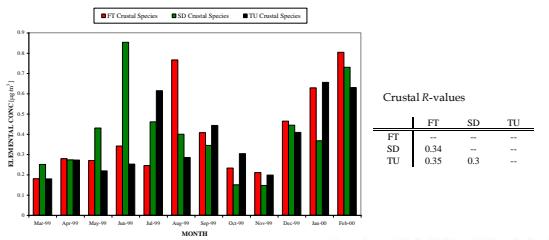
• Summary statistics

Chemical Species	Fair McPherson		South Dakota		Tulsa	
	Average ($\mu\text{g/m}^3$)	Maximum ($\mu\text{g/m}^3$)	Average ($\mu\text{g/m}^3$)	Maximum ($\mu\text{g/m}^3$)	Average ($\mu\text{g/m}^3$)	Maximum ($\mu\text{g/m}^3$)
Silicon (Si)	0.00034	0.83	0.0016	0.0048	0.0003	0.0008
Aluminum (Al)	0.24	1.7	0.19	1.8	0.24	1.7
Calcium (Ca)	0.11	1.1	0.095	0.85	0.099	1.01
Irons (Fe)	0.037	0.93	0.043	0.79	0.033	2.6
Magnesium (Mg)	0.014	0.16	0.012	0.20	0.014	0.16
Nickel (Ni)	0.0027	0.16	0.0046	0.32	0.0036	0.39
Vanadium (V)	0.0006	0.002	0.0009	0.007	0.0006	0.008
Cadmium (Cd)	0.0003	0.006	0.0001	0.19	0.0002	0.0052
Chromium (Cr)	0.0015	0.22	0.0031	0.22	0.0003	0.52
Copper (Cu)	0.0019	0.062	0.0002	0.25	0.0021	0.18
Manganese (Mn)	0.0003	0.003	0.0003	0.01	0.001	0.014
Potassium (K)	0.12	1.8	0.18	3.8	0.13	1.03
Titanium (Ti)	0.0097	0.16	0.0093	0.34	0.0078	0.16
Tin (Sn)	0.0006	0.008	0.0005	0.02	0.0002	0.24
Zinc (Zn)	0.0003	0.008	0.0005	0.02	0.0002	0.02
Lead (Pb)	0.0093	0.063	0.017	2.3	0.0082	0.059
Selenium (Se)	0.073	0.97	0.07	2.7	0.059	1.02

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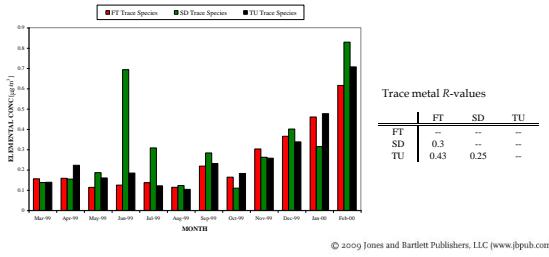
Temporal Analysis of Crustal Species

- Seasonal variation in crustal species and Spearman rank correlation coefficient matrix



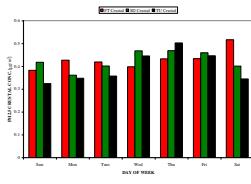
Temporal Analysis of Trace Species

- Seasonal variations in trace species and Spearman rank correlation coefficient matrix

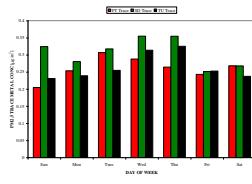


Temporal Analysis of Crustal and Trace Metal Species

Day-of-week variations in crustal species



Day-of-week variations in trace species



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Principal Components Analysis (PCA) for Source Attribution

- Multivariate model – compresses dataset into fewer dimensions (components)
- Minimum number of components contain maximum amount of variance
- Component groupings indicate sources
- Roscoe et al. (1982), Hopke (1983), Biegalski et al. (1998)

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Fort McPherson PCA

Element	Component 1	Component 2	Component 3	Component 4	Component 5
Si	—	0.40	—	—	—
Al	0.39	—	—	—	—
Ca	—	—	0.37	—	—
Fe	0.39	—	—	—	—
Mg	—	0.43	—	—	—
Ni	0.30	—	—	—	—
V	0.34	—	—	—	—
Cd	—	—	0.33	—	—
Cr	0.31	—	—	—	—
Ca	—	—	—	—	—
Mn	—	0.51	—	—	—
K	0.39	—	—	—	—
Ti	—	0.49	—	—	—
Zn	—	—	—	—	0.55
Pb	—	—	—	0.73	—
Se	—	—	—	—	0.58
Variance	4.28	2.87	1.87	1.30	1.10

Component

- 1 Coal-fired boiler Cheng et al. (1976)
- 2 Agr. And natural soil Chester and Stoner (1974)
- 3 Motor vehicles Lee et al. (1999)
- 4 Mix (veg, burn., mv, cb) Edgerton et al. (1984); Huang et al. (1994)
- 5 Incinerator Mamane (1988)

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South Dekalb PCA

Element	Component 1	Component 2	Component 3	Component 4
Si	—	—	—	—
Al	—	—	0.55	—
Ca	—	—	—	0.95
Fe	—	—	—	—
Mg	—	0.45	—	—
Ni	0.32	—	—	—
V	0.31	—	—	—
Cd	0.33	—	—	—
Cr	—	—	—	—
Cu	0.33	—	—	—
Mn	—	0.55	0.37	—
K	—	—	—	—
Ti	—	0.40	—	—
Zn	—	—	0.33	—
Pb	0.33	—	—	—
Se	—	—	—	—
Variance	8.64	2.00	1.44	1.04

- Component
- 1 Coal-fired boiler/incinerator
 - 2 Agricultural and natural soils
 - 3 Motor vehicles
 - 4 Incinerator/coal-fired boiler or soil (Soils dominate for PMto)

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Tucker PCA

Element	Component 1	Component 2	Component 3	Component 4	Component 5	Component 6
Si	—	0.31	—	—	—	—
Al	0.31	—	—	0.39	—	0.68
Ca	—	—	—	—	—	—
Fe	0.45	—	—	—	—	—
Mg	—	0.36	—	—	—	—
Ni	0.43	—	—	—	—	—
V	—	—	—	—	—	0.30
Cd	—	—	—	—	0.37	—
Cr	0.42	—	—	—	—	—
Cu	—	—	—	—	—	—
Mn	—	0.53	—	—	—	—
K	0.33	—	0.35	—	—	—
Ti	—	0.82	—	—	—	—
Zn	—	—	—	—	—	—
Pb	—	—	—	0.70	—	—
Se	—	—	—	—	0.74	—
Variance	3.63	2.60	2.05	1.36	1.04	1.03

Component

- 1 Coal-fired boiler
- 2 Agricultural and natural soils
- 3 Coal-fired boiler or soil or veg. Burning
- 4 Motor vehicles
- 5 Smelting
- 6 Incinerator (urban mix)

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Elemental Species Results

- Crustal and trace metals
 - Both appear bi-modal (summer, winter)
 - June spike at SD due to road construction
 - Significant spatial variation
- Principal components analysis
 - Motor vehicles, coal-fired power plants, soils dominant
 - Sometimes difficult to distinguish sources

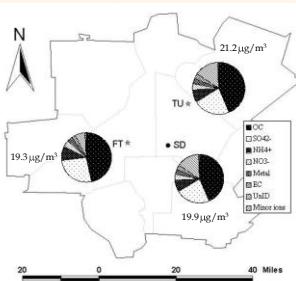
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Material Balance

- Sum of chemical constituents vs. TEOM and FRM mass values
 - Carbon
 - “Organic material” = $1.4 \times \text{OC}$
 - Accounts for O, H associated with OC (but not quantified via TOT)
 - Crustals
 - To account for oxides (Al_2O_3 , SiO_2 , CaO , Fe_2O_3)
 - $1.89 \times \text{Al}$, $2.14 \times \text{Si}$, $1.4 \times \text{Ca}$, $1.43 \times \text{Fe}$

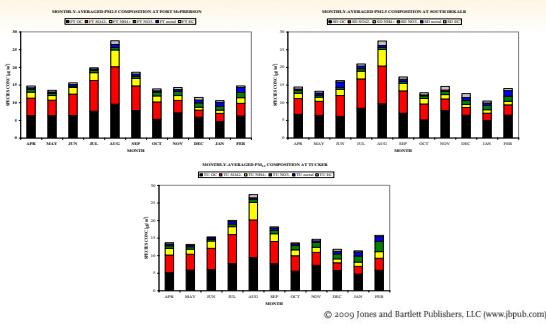
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Average Chemical Composition

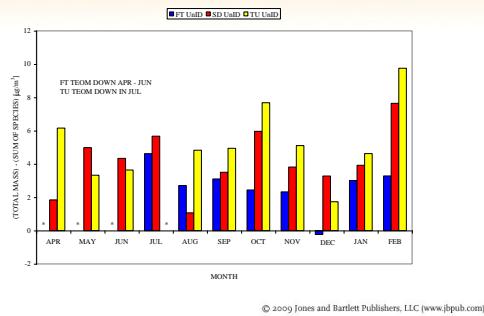


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Seasonal Mass Balance



Seasonal Variation in Unidentifiable Material



Material Balance Results

- Chemical composition
 - 31% Organic material ($1.4 \times \text{OC}$)
 - 22% SO_4^{2-}
 - 9% NH_4^+
 - 6.4% NO_3^-
 - 3.2% Metals
 - 2% EC
 - 26.4% Unidentifiable material
- UM seasonally invariant

Statistical Modeling of PM_{2.5} Mass

- Used for estimating PM_{2.5} levels when data unavailable
- Models developed for "O₃ season" (April – October 1999)
- Available data
 - Tucker
 - O₃, NO₂, SO₂, T, WSP, WDR, RH, PRECIP, SR, UV
 - South Dekalb (problem with PM_{2.5} data)
 - Same as above, except SR, UV
 - Fort McPherson
 - No supplementary measurements (TU, SD data used)
 - CO measured at two other locations in metro Atl.

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Model Development

- Raw data examined for normality
 - Log-transformations indicated
- Multiple linear regression equations derived for 4 cases
 - Case 1: Filling in missing day when prior and subsequent day's values available
 - Case 2: Only prior day's value available
 - Case 3: Only subsequent day's value available.
 - Case 4: "Back-casting"

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Case 1 Results: Previous and Next Days' Values Available

Term	Fort McPherson		South Dekalb		Tucker	
	Coeff.	p-val	Coeff.	p-val	Coeff.	p-val
Intercept	-1.9198	<0.0001	-0.7588	0.1641	-1.3758	<0.0001
Previous day PM _{2.5} (PD)	0.2421	<0.0001	0.4185	<0.0001	0.3986	<0.0001
Next day PM _{2.5} (ND)	0.1169	0.0785	0.2142	0.00041	0.3187	<0.0001
Ozone (O ₃)	0.5112	<0.0001	0.2401	0.0062	0.2598	<0.0001
Nitrogen dioxide (NO ₂)	0.2837	<0.0001	0.1887	0.0021	0.3183	<0.0001
Carbon monoxide (CO)	0.1972	0.0008	0.0334	0.4853	—	—
Sulfur dioxide (SO ₂)	—	—	—	—	0.0705	0.1118
Sinuosity direction, (WDR)	—	—	—	—	0.0458	0.0628
Relative humidity (RH)	0.6077	0.0060	0.3139	0.2348	—	—
Adj R ² (%)	75	\$6	70	—	—	—
p-val	<0.0001	<0.0001	<0.0001	<0.0001	—	—

Spatially-averaged model for Case 1:

$$[PM_{2.5}] = 0.222[PD]^{0.38}[ND]^{0.33}[NO_2]^{0.17}[O_3]^{0.34}[CO]^{0.12}[RH]^{0.52} \quad R^2 = 0.74$$

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Case 2 Results: Only Previous Day's Values Available

Term	Fort McPherson		South DeKalb		Tucker	
	Coeff.	p-val	Coeff.	p-val	Coeff.	p-val
Intercept	-1.9585	<0.0001	-0.3900	0.0448	-0.5714	<0.0001
Previous day PM _{2.5} (PD)	0.2301	<0.0001	0.3673	<0.0001	0.4427	<0.0001
Ozone (O ₃)	0.5680	<0.0001	0.1793	0.0051	0.1843	<0.0001
Nitrogen dioxide (NO ₂)	0.3225	<0.0001	0.2147	<0.0001	0.2382	0.0006
Carbon monoxide (CO)	0.2364	<0.0001	0.0619	0.0853	0.2471	0.0001
Sulfur dioxide (SO ₂)	0.1762	0.0002
Temperature (T)	0.4143	0.0316
Sin[wind direction], (WDR)	0.0423	0.0139	...
Relative humidity (RH)	0.5932	0.0065
Adj. R ² (%)	75		53	65		
p-val	<0.0001		<0.0001		<0.0001	

Spatially-averaged model for Case 2:

$$[PM_{2.5}] = 0.0234 [PD]^{0.40} [O_3]^{0.41} [CO]^{0.24} [RH]^{0.49} [SO_2]^{0.15} [NO_2]^{0.13} \quad R^2 = 0.69$$

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Case 3: Only Next Day's Values Available

Term	Fort McPherson		South DeKalb		Tucker	
	Coeff.	p-val	Coeff.	p-val	Coeff.	p-val
Intercept	-2.0577	<0.0001	-0.4931	0.0047	-0.3909	0.0067
Next day PM _{2.5} (ND)	0.0940	0.1691	0.2901	0.0004	0.3915	<0.0001
Ozone (O ₃)	0.6627	<0.0001	0.2738	0.0006	0.2615	<0.0001
Nitrogen dioxide (NO ₂)	0.3277	<0.0001	0.3172	<0.0001
Nitrogen monoxide (NO)	0.0954	<0.0001
Carbon monoxide (CO)	0.2289	0.0002
Sulfur dioxide (SO ₂)	0.0957	0.0604
Temperature (T)	0.8761	<0.0001	0.4653	<0.0001
Sin[wind direction], (WDR)	0.0552	0.0008
Relative humidity (RH)	0.6750	0.0035
Total UV Radiation (UV)	-0.2300	0.0065	-0.2197	0.0007
Adj. R ² (%)	73		53	60		
p-val	<0.0001		<0.0001		<0.0001	

Spatially-averaged model for Case 3:

$$[PM_{2.5}] = 10^{(0.01590W-2.16)} [ND]^{0.35} [O_3]^{0.40} [RH]^{0.21} [T]^{0.51} [NO_2]^{0.36} [CO]^{0.11}$$

R² = 0.66

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Case 4: Back-casting

Term	Fort McPherson		South DeKalb		Tucker	
	Coeff.	p-val	Coeff.	p-val	Coeff.	p-val
Intercept	-2.1269	<0.0001	-2.1595	0.0010	-0.5583	0.0012
Ozone (O ₃)	0.7078	<0.0001	0.3328	<0.0001	0.2587	<0.0001
Nitrogen dioxide (NO ₂)	0.3668	<0.0001	0.1484	0.0396	0.3626	<0.0001
Carbon monoxide (CO)	0.2545	<0.0001	0.1589	0.0176
Sulfur dioxide (SO ₂)	0.1993	0.0003
Temperature (T)	1.2989	<0.0001	0.5346	<0.0001
Sin[wind direction], (WDR)	0.0633	0.0020
Relative humidity (RH)	0.6812	0.0027
Solar Radiation (SR)	1.2484	0.0118
Total UV Radiation (UV)	-1.6117	0.0036	-0.1417	0.0467
Adj. R ² (%)	73		51	52		
p-val	<0.0001		<0.0001		<0.0001	

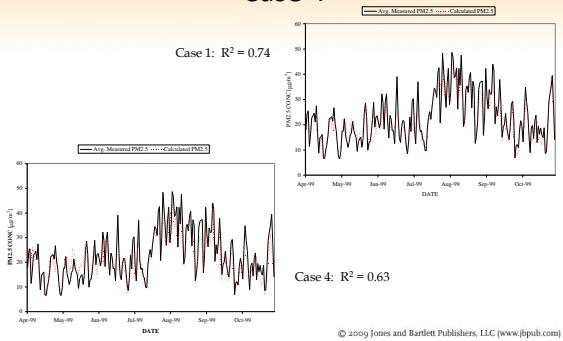
Spatially-averaged model for Case 4:

$$[PM_{2.5}] = 10^{(0.05990W-2.02)} [O_3]^{0.43} [RH]^{0.58} [T]^{0.61} [NO_2]^{0.32} [CO]^{0.13} [SO_2]^{0.22}$$

R² = 0.63

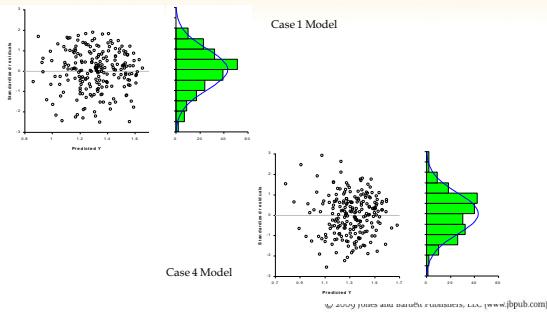
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Comparison of Models: Case 1 and Case 4



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Residual analysis



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Statistical Modeling Results

- Significant potential for retrospective estimation
 - Up to 74% of variance explained
- Spatial estimates limited by site-to-site inconsistencies
 - Loss of detail acceptable (lack of spatial variability)

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Conclusions

- Temporal variation in PM_{2.5} concentrations greater than spatial
- Mean 24-hour levels: 19.3 - 21.2 µg/m³
 - Annual NAAQS: 15 µg/m³ (3 yrs data)
- Peak levels in summer (mass and all species except NO₃⁻)
 - August 1999 was anomalous
- Slight increase in PM_{2.5} during work week
- Late-night, early-morning diurnal peaks

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Conclusions

- Major ions accounted for ~40% of mass
- OC largest single species (~31% of mass)
 - Secondary formation important (43-79%)
- Metal species bi-modal
 - Significant spatial variability
 - PCA: coal-fired boilers, soils, motor vehicles dominate
- Unidentifiable material invariant with season
- Statistical modeling explained >70% of variance
 - Spatial modeling proved unproductive

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